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PATENT APPLICATION

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

1000 U.S. PTO  
109/983057  
10/23/01

In re application of

Isao TSURUMA

Appln. No. Not Yet Assigned

Group Art Unit: Not Yet Assigned

Confirmation No.:Not Yet Assigned

Examiner: Not Yet Assigned

Filed: October 23, 2001

For: **WAVELENGTH CONVERTING ELEMENT AND METHOD OF MANUFACTURE  
THEREOF**

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT  
Attorney Docket No. Q66788

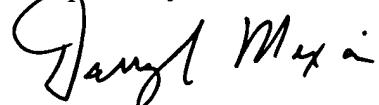
In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations:

**Japanese Patent Application Laid-Open No. 9-218431 is disclosed on page 2 in the specification of the above-identified application.**

**Japanese Patent Application Laid-Open Nos. 9-281536 and 11-72810 are disclosed on page 3 in the specification of the above-identified application.**

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



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Darryl Mexic  
Registration No. 23,063

SUGHRUE MION, PLLC  
2100 Pennsylvania Avenue, N.W.  
Washington, D.C. 20037-3213  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860  
DM/tmm  
Date: October 23, 2001

Form PTO-1449 (Rev. 2-32)		U.S. Department of Commerce Patent & Trademark Office		Atty. Docket No. Q66788	Serial No.: Confirmation No.: Not Yet Assigned		
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant: Isao TSURUMA					
		Filing Date: October 23, 2001		Group: Not Yet Assigned			
U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date (if appropriate)
 J10000 09/983057 PRO							
FOREIGN PATENT DOCUMENTS							
		Document	Date	Country	Class	Sub-class	Translation Yes/No
		9-218431	8/19/97	Japan	G02F	1/37	
		9-281536	10/31/97	Japan	G02F	1/37	
		11-72810	3/16/99	Japan	G02F	1/37	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER:		DATE CONSIDERED:					
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication.							